

17621 U.S. PTO  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.:	H0006268-0769	Total Pages:	24
	First Named Inventor or Application Identifier			
	James Z. Liu			
	Express Mail Label No.:	ER 772491246 US		

<b>APPLICATION ELEMENTS</b> See MPEP Chapter 600 concerning utility patent application contents.	<b>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</b>
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (incl. claims) (Total Pages: 21)
3. ☒ Drawing(s) (35 USC 113) (Total Sheets: 3)
 

☐ Informal ☒ Formal

Use Figure 1 for front page of Publication.
4. ☒ Oath or Declaration (Total Pages: 2)
 

a. ☒ Newly executed  
(original or copy)  
  
 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)  
  
☐ i. DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
 

a. ☐ Computer Readable Copy  
 b. ☐ Paper Copy (Identical to computer copy)  
 c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
14. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)	
16. <input type="checkbox"/> Other:	

17. ☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Same as prior application <input checked="" type="checkbox"/> Correspondence address below					
NAME	Kris T. Fredrick Attorney, Intellectual Property Honeywell International, Inc.				
ADDRESS	101 Columbia Rd. P.O. Box 2245				
CITY	Morristown	STATE	New Jersey	ZIP CODE	07962
COUNTRY	U.S.A.	TELEPHONE	(763) 954-5388	FAX	(763) 954-5390

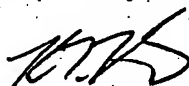
Attorney Docket No. H0006268-0769

19. ☒ The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S)				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	20 - 20 =	0	x \$ 18	= \$0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 - 3 =	0	x \$ 86	= \$0.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY -- 0	\$ 280	= \$ 0.00
BASIC FEE (37 CFR 1.16(a))				770.00
TOTAL				= \$ 770.00

20. ☒ The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Honeywell International, Inc., Account No. 01-1125.  
An additional copy of this form is enclosed.
21. ☒ This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
22. ☒ Power of Attorney
- a. ☐ The power of attorney appears in the original papers of the enclosed prior application.
- b. ☐ Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- c. ☒ A new declaration with power of attorney is enclosed.
23. ☒ The assignee of this application to be indicated on its publication is Honeywell International, Inc.

Respectfully submitted,



Kris T. Fredrick  
Signature per 37 CFR 1.33 & 34  
Date: March 30, 2004  
Registration No. 42,554  
Telephone (763) 954-5388

BEST AVAILABLE COPY

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**H0006268-0769**

In Re Application Of: **James Liu**

Serial No.  
**Not Yet Assigned**

Filing Date  
**Not Yet Assigned**

Examiner  
**Not Yet Assigned**

Group Art Unit  
**Not Yet Assigned**

Title: **MULTIPLE MODES ACOUSTIC WAVE SENSOR**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): James Liu

Docket No.

H0006268-0769

Serial No.

Not Yet Assigned

Filing Date

Not Yet Assigned

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

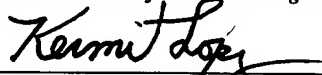
Invention:

**MULTIPLE MODES ACOUSTIC WAVE SENSOR**

I hereby certify that the following correspondence:

**United States Patent Application***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 1, 2004*(Date)*Kermit Lopez*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ER 772491246 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

ORTIZ &amp; LOPEZ, PLLC

Patent Attorneys

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